

Our ref: FOI ID 5507

24th August 2015

Southportandformbyccg.foi@nhs.net

**NHS Southport & Formby CCG** 

Merton House Stanley Road Bootle Merseyside L20 DL

Direct dial: 0151 247 7000

#### **Re: Freedom of Information Request**

Please find below the response to your recent Freedom of Information request regarding Finance and Activity Plan for 2015-16 within NHS Southport and Formby CCG.

#### Request/Response:

All CCGs have been required to submit data to NHS England via this template: <a href="http://www.england.nhs.uk/wp-content/uploads/2014/12/2-ccg-act-finc-temp.xlsm">http://www.england.nhs.uk/wp-content/uploads/2014/12/2-ccg-act-finc-temp.xlsm</a>

I am asking for the most recent version of this report.

Please send it in Excel format, rather than converting it into a pdf.

Please see Appendix 1.

# 2015/16 - Activity and Finance Plan



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NHS Southport and Formby CCG	01V
Sub Region	
Cheshire and Merseyside	Q75
Region	
North	Y54
	, ,
Submission Date	
13-Jan-15	
Completed By:	
James Bradley	
Email:	
james.bradley@southportandformbyccg.nhs.uk	
Contact Number:	
0151 2477070	
Quality Chacks Classed?	
Quality Checks Cleared?	Quality Check
NO	Quality Check
Signed by CFO:	
Signed by Ci O.	

### Submission guidance

This file should be submitted through SharePoint using the following link:

https://nhsengland.sharepoint.com/sites/ccgfin/DropOffLibrary

This file contains various macros to aid the consolidation and co-ordinating of the collection. It is very important the file is not corrupted/modified.

Please adhere to the following:

- 1 Always activate macros when opening.
- 2 Do not modify the file properties which will be set by a macro
- 3 Do not unprotect the workbook problems/issues should be raised with your regional finance leads or rasied through the financial performance mail box

Click here to email ---> NHSCB.financialperformance@nhs.net

- 4 Avoid copying in values
- 5 Do not modify the formulas
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Remove links

The supporting guidance can be found here:

http://www.england.nhs.uk/ourwork/forward-view

Version

3.2

	<b>NHS South</b>	port and Formby	<b>CCG</b>
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01V

**Financial Plan Summary** 

Revenue Resource Limit

FinancialPlanDetail 1516

Risk

<u>Investment</u>

QIPP 1516

<u>LD</u>

**Mental Health** 

**BCF** 

<u>SofP</u>

Cash

**Capital** 

Contract 1415

Contract 1516

**Contract Analysis** 

50% Marginal Rate

**Quality Checks** 

Waterfall

Income and Expenditure				
Security   1985/15   198	NHS Southport and Formby CCG	01V	Contents	
	Financial Position			
Recurrent (1982/26) Table 179,264 Table 179,	Revenue Resource Limit			
More Recurrency   1,735   1,205   1,	£ 000	2014/15	2015/16	
Table   179,04   17	Recurrent	168,526	174,313	The CCG is planning to achieve its planned 1% surplus in both 2014/15 and 2015/16.
Table   179,04   17	Non-Recurrent	4,738	2,304	
Normal Prisportitions Acade 194,411 195,77 195,95 1	Total			The CCG has made a number of assumptions in terms of growth in activity and costs, and these are described in the section below.
Marcal Readon 14,677 15,000 15		·		These reflect a number of the risks facing the CCG, and the significant pressures experienced in 2014/15.
Marcial Health 1,627 1,530 Community 1,537 1,500 Community 1,530 Continuous and a standard of the Place of CS.130m. Further decommonishming and investment plans associated with the Diffee Placement continuous to be developed. A 15 more current Transformation Fund has been established order to reveal most saveg entitative.  Tool for Programme (2014) Tool Programme (2014) T	Income and Expenditure			
Secreta settanh 1,1,577 1,13,500 Continuing (miles) 1,3,500 Continuing (miles) 1,3,500	Acute	94,431	88,046	In order to achieve the planned 1% surplus, the CCG is required to deliver an ambitious QIPP target, which focuses on reductions in
Communique 15,137   15,315   1	Mental Health		14,830	acute activity. The CCG currently has an unidentified QIPP target of £5.139m. Further decommissioning and investment plans
Continuing Care 12,005 Continuing Care 12,005 Continuing Caregoriante 12,005 Continuing Continuing Caregoriante 12,005 Continuing Co	Community	15,137	15,915	associated with the QIPP achievement continue to be developed. A 1% non-recurrent Transofrmation Fund has been established in
Primary Circ   2.9.61   19.825	Continuing Care	13,036	14,187	order to invest in cost-saving initiatives.
The ACC perpositance commitments are identified in the plan.				
Treat many cores    19,000   2				The BCF expenditure commitments are identified in the plan.
Contingency				
Contingency		200,021		Contingency of 0.5% has been set aside in line with the business rules.
Transport   Tran	Running Costs	2 690	2 606	917
See	Turning Costs	2,030	2,000	The CCG has identified a number of risks, and these are explained in the risks tab.
Total Costs	Contingency		001	and the second s
Total costs   17.514   17.487   17.48	Contingency	-	004	The impact of the Enhanced Tariff Ontion (ETO) has been reflected in the plan
### Areased of F activity yigh has been detailed in the Contract to a for 2013/16. This reflects a 3.3% reduction in activity. Costs second with N1. activity remain the same due to increased activity of patients, and the fact that RCF monies will flow once the activity remain the same due to increased activity of patients, and the fact that RCF monies will flow once the activity remain the same due to increased activity of patients, and the fact that RCF monies will flow once the activity remain the same due to increased activity of patients, and the fact that RCF monies will flow once the activity remain the same due to increased activity of patients, and the fact that RCF monies will flow once the activity remain the same due to increased activity of patients, and the fact that RCF monies will flow once the activity remain the same due to increased activity of patients, and the fact that RCF monies will flow once the activity remain the same due to increased activity of patients, and the fact that RCF monies will flow once the activity remain the same due to increased activity of patients, and the fact that RCF monies will flow once the activity remain the same due to increased activity of patients, and the fact that RCF monies will flow once the activity remain the same due to increased activity of patients, and the fact that RCF monies will flow once the activity remain the same due to increased activity of patients, and the fact that RCF monies will flow once the activity remain the same due to increased activity of patients, and the fact that RCF monies will flow once the activity remain the same due to increased activity of patients, and the fact that RCF monies will flow once the contract to activity remain the same due to increased activity remain the same due to increased activity remain the same due to increase activity remain the same due to increase activity remain the same due to increase activity remain the same due to increased activity remain the same due to increase activity remain the same du	Total Costs	171 544	178 017	The impact of the Emiliated Turni Option (ETO) has been reflected in the plan.
### Supplied (Perfox)   2014/15   50   50   50   50   50   50   50	TOTAL COSTS	1/1,514	1/4,817	A routed PCE activity plan has been detailed in the Contracts tab for 2017 /45. This well-star 2017 which is a set if the Contracts tab for 2017 /45. This well-star 2017 which is a set if the Contracts tab for 2017 /45. This well-star 2017 which is a set if the Contracts tab for 2017 /45. This well-star 2017 which is a set if the Contracts tab for 2017 /45. This well-star 2017 which is a set if the Contract tab for 2017 /45. This well-star 2017 which is a set if the Contract tab for 2017 /45. This well-star 2017 which is a set if the Contract tab for 2017 /45. This well-star 2017 /45.
Sports (Fordick) in-rear Movement   1815   1800   1				
Surplant (Peletric Name Movement)   181   50				
Surgiast (Petricis) Communitative   1,750   2,800				activity has reduced.
Sorgical (PAG) SetEN  Not Risk/Headroom  Not Risk/H				
Surpline (RAC)   SREEN   (1,619)				
Net Bits/Headroom  Bits Adjusted Surphis/Delicity (See 1)  Bits Adjusted Surphis/Delic	Surplus/(Deficit) %			
See Adjusted Surplus/(Deficit) (Cumulative   19.2   19.5	Surplus (RAG)	GREEN	GREEN	
Stack Agusted Surplus/(Deficit) (MAG)		_		
Bits Adjusted Surplus/(Deficit) (SMC)	Net Risk/Headroom		(1,619)	
Mark Adjusted Surplus/(Deficit) (MAG)	Risk Adjusted Surplus/(Deficit) Cumulative		182	
Underlying position - Surplus/ (Deficit) Cumulative   586   3.493   Underlying position - Surplus/ (Deficit) (Sk   0.3%)   Contingency	Risk Adjusted Surplus/(Deficit) %		0.1%	
Section   Surplus   Deficit) %   Surplus   S	Risk Adjusted Surplus/(Deficit) (RAG)		AMBER	
Section   Surplus   Deficit) %   Surplus   S		_	·	
Section   Surplus   Deficit) %   Surplus   S				
Contingency (SC Ontingency (RAG)  Softingency (RAG)	Underlying position - Surplus/ (Deficit) Cumulative	586	3,493	
Contingency \( \)	Underlying position - Surplus/ (Deficit) %	0.3%	2.0%	
Contingency \( \)				
Serial	Contingency	-	884	
Notified Running Cost Allocation + Quality Premium 3.196 Running Cost (Qiverspend) 506 Running Cost (RiAC) 6REEN Rouning Cost (RiAC) 7 Seed per head (£) 2.596 Rounder (Qiverspend) 506 Rounder (RiAC) 6REEN Rounder (RiAC) 6REEN Rounder (RiAC) 7 Seed per head (£) 21.95 Rounder (RiAC) 7 Seed per head (£) 21.95 Rounder (RiAC) 7 Seed per head (£) 21.74 Rounder (RiAC) 7 Seed per head (£) 21.74 Rounder (RiAC) 7 Seed per head (£) 20.03 Rotified Allocation Change (£) 000 Rotified Allocation Change (£) 1.78 Rounder (RiAC) 8 Seed per head (£) 1.78 Rounder (RiAC) 8 Se	Contingency %	0.0%	0.5%	
Running Costs (RAG) 566 Running Costs (RAG) 678EN Population Size (1000) 123 Spend per head (£) 21.96  Notified Allocation Change (£'000) 21.37  Notified Allocation Change (£'000) 22.54  Tariff Change - Acute (\$\frac{1}{2}\fra	Contingency (RAG)		GREEN	
Running Costs (RAG) 566 Running Costs (RAG) 678EN Population Size (1000) 123 Spend per head (£) 21.96  Notified Allocation Change (£'000) 21.37  Notified Allocation Change (£'000) 22.54  Tariff Change - Acute (\$\frac{1}{2}\fra		<u> </u>	·	
Coverse   Cove	Notified Running Cost Allocation + Quality Premium	3,196	2,606	
Coverse   Cove	Running Cost	2,690	2,606	
GREEN   123   12			-	
Population Size (000)   123   21.96			GREEN	
Key Planning Assumptions  Notified Allocation Change (£'000) Notified Resource Non Acture (£'00) Non Demographic Growth (£'00) Non Demographic Growth - Cont. Care(£'0) Non Demographic Growth - Other Non Actue (£'00) Non Demographic Growth - Other Non Actue (£'00) Non Demographic Growth - Other Non Actue (£'000) Non Recurrent (Inclusive of full year effect) Non Demographic Growth - Other Non Actue (£'000) Non-Recurrent (Inclusive of full year effect) Non Demographic Growth - Other Non Actue (£'000) Non-Recurrent (Inclusive of full year effect) Non Demographic Growth - Other Non Actue (£'000) Non-Recurrent (Inclusive of full year effect) Non Demographic Growth - Other Non Actue (£'000) Non-Recurrent (Inclusive of full year effect) Non-Recurrent (Inclusive of full year effect) Non-Recurrent (Inclusive of full year effect) Non-Recurrent Non-Recurrent (Inclusive of full year effect) Non-Re	_ , ,			
Key Planning Assumptions    2015/16				
Collision   Change (£000)		21.50	21.24	
Collision   Change (£000)	Key Planning Assumptions			
Notified Allocation Change (£'000)   Notified Allocation Change (\$)   1.7%			2015/16	Key planning assumptions and alignment of plans with providers/yeu stakeholders
Tariff Change - Acute (%)   1.7%   -0.5%   -0.2%   -	Notified Allocation Change (£'000)			
Tariff Change - Acute (%)  Tariff Change - Non Acute (%)  Demographic Growth (%)  Non Demographic Growth - Acute (%)  Non Demographic Growth - Cont. Care(%)  Non Demo	• • •			· · ·
Tariff Change - Non Acute (%) Demographic Growth (%) Non Demographic Growth - Acute (%) Non Demographic Growth - Cont. Care (%) Non Demographic Growth - Prescribing (%) Non Demographic Growth - Prescribing (%) Non Demographic Growth - Other Non Acute (%) Non Peccurent (inclusive of full year effect) Non-Recurrent (inclusive of full year effect) Non-Recurre	5 V 7			· · · · · · · · · · · · · · · · · · ·
Demographic Growth (%)  Non Demographic Growth - Acute (%)  Non Demographic Growth - Cont.Care(%)  Non Demographic Growth - Prescribing (%)  Non Demographic Growth - Other Non Acute (%)  Net QIPP Savings  E 000  Recurrent (inclusive of full year effect)  Non-Recurrent  Total  (1,610)  Sof Notified Resource  Junior  Total  (1,610)  Sof Notified Resource  Junior  Total  Sof Notified Resource  Junior  Sof Notified Resource  Junior  Sof Notified Resource  Junior  Sof Non Recurrent Requirement  E 000  Sof Non Recurrent Requirement  E 000  Sof Non Recurrent Requirement  E 000  Agreed plans in place  A 1,400  Agreed plans in place  Junior  Sof Non-Recurrent Requirement  Sof Non-Recurrent Requirement  A range of non-recurrent investment schemes are in place, including continued contributions to the CHC Restitution Risk Pool.				
Non Demographic Growth - Acute (%) Non Demographic Growth - Cont. Care(%) Non Demographic Growth - Other Non Acute (%) Non Demographic Growth - Other Non Acute (%)  Non Demographic Growth - Other Non Acute (%)  Non Demographic Growth - Other Non Acute (%)  Non Demographic Growth - Other Non Acute (%)  Non Demographic Growth - Other Non Acute (%)  Non Demographic Growth - Other Non Acute (%)  Non Bemographic Growth - Other Non Acute (%)  2014/15  Recurrent (inclusive of full year effect)  (1,610) Non-Recurrent  1,000 Total (1,610) Non Recurrent Requirement  1,000 Non Recurrent Requirement  2014/15 Non Recurrent Requirement  1,000 Non Recurrent Requirement  2015/16 Non Recurrent Requirement  2015/16 Non Recurrent Requirement  2016/15 Non R				
Non Demographic Growth - Cont. Care(%) Non Demographic Growth - Prescribing (%) Non Demographic Growth - Other Non Acute (%)  Net QIPP Savings  E 000				
Non Demographic Growth - Prescribing (%) Non Demographic Growth - Other Non Acute (%)  Net QIPP Savings    F 000				
Net QIPP Savings    F 000				counting and coding changes, review of block contracts).
Net QIPP Savings  E 000				
£ 0002014/15Recurrent (inclusive of full year effect)(1,610)Non-Recurrent-Total(1,610)% of Notified Resource-0.9%% Unidentified0.0%Non Recurrent Requirement-£ 0002014/15Value4,140Agreed plans in place4,140Agreed plans in place4,1401,6881,6881,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,6880.0%2015/160.0%1,6880.0%2015/160.0%1,6880.0%2015/160.0%1,6880.0%2015/160.0%1,6880.0%2015/160.0%2015/160.0%2015/160.0%2015/160.0%2015/160.0%2015/160.0%2015/160	Non Demographic Growth - Other Non Acute (%)		2.9%	
£ 0002014/15Recurrent (inclusive of full year effect)(1,610)Non-Recurrent-Total(1,610)% of Notified Resource-0.9%% Unidentified0.0%Non Recurrent Requirement-£ 0002014/15Value4,140Agreed plans in place4,140Agreed plans in place4,1401,6881,6881,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,0000.0%2015/160.0%1,6880.0%2015/160.0%1,6880.0%2015/160.0%1,6880.0%2015/160.0%1,6880.0%2015/160.0%1,6880.0%2015/160.0%2015/160.0%2015/160.0%2015/160.0%2015/160.0%2015/160.0%2015/160				
Recurrent (inclusive of full year effect)  Non-Recurrent  Total  (1,610)  Non Notified Resource  Vulue  Non Recurrent Requirement  E 000  Value  Agreed plans in place  (1,610)  (1,610				
Non-Recurrent Total (1,610) % of Notified Resource % Unidentified  Non Recurrent Requirement £ 000 Value Agreed plans in place  1,000 6,653 3.8% 0.0%  1,000 6,653 3.8% 0.0%  There is also a continued focus on reducing prescribing spend.  There is also a continued focus on reducing prescribing spend.  Description of plans in place for non-recurrent expenditure A range of non-recurrent investment schemes are in place, including continued contributions to the CHC Restitution Risk Pool.				Overview on QIPP schemes and risk to delivery
Total (1,610) % of Notified Resource -0.9% % Unidentified 0.0%  Non Recurrent Requirement £ 000 2014/15 Value 4,140 Agreed plans in place 4,140 Agreed plans in place 4,140  Agreed plans in place 1,610  (1,610) 6,653 3.8% 0.0%  There is also a continued focus on reducing prescribing spend.  Description of plans in place for non-recurrent expenditure A range of non-recurrent investment schemes are in place, including continued contributions to the CHC Restitution Risk Pool.	Recurrent (inclusive of full year effect)	(1,610)	5,653	The CCG is required to meet an ambitious QIPP target in order to achieve its financial targets. This is focussed on reducing acute
% of Notified Resource % Unidentified 0.0%  Non Recurrent Requirement  £ 000 Value 4,140 Agreed plans in place 2014/15 Value 4,140 Agreed plans in place 2014/15 1,688 Agreed plans in place 3.8% 0.0%  There is also a continued focus on reducing prescribing spend.  Description of plans in place for non-recurrent expenditure A range of non-recurrent investment schemes are in place, including continued contributions to the CHC Restitution Risk Pool.	Non-Recurrent	-	1,000	activity through a range of initiatives.
% of Notified Resource	Total	(1,610)	6,653	
% Unidentified     0.0%       Non Recurrent Requirement     E 000       E 000     2014/15       Value     4,140       Agreed plans in place     4,140       1,688     1,688       1,688     1,688       1,688     1,688	% of Notified Resource			There is also a continued focus on reducing prescribing spend.
Non Recurrent Requirement  £ 000  2014/15  Value  4,140 Agreed plans in place  2015/16  1,688 Agreed plans in place  2015/16  1,688  1,688  Description of plans in place for non-recurrent expenditure  A range of non-recurrent investment schemes are in place, including continued contributions to the CHC Restitution Risk Pool.				
£ 0002014/152015/16Description of plans in place for non-recurrent expenditureValue4,1401,688Agreed plans in place4,1401,688Agreed plans in place4,1401,688				
£ 0002014/152015/16Description of plans in place for non-recurrent expenditureValue4,1401,688Agreed plans in place4,1401,6881,6881,688	Non Recurrent Requirement			
Value4,1401,688Agreed plans in place4,1401,688 A range of non-recurrent investment schemes are in place, including continued contributions to the CHC Restitution Risk Pool.  A range of non-recurrent investment schemes are in place, including continued contributions to the CHC Restitution Risk Pool.	·	2014/15	2015/16	Description of plans in place for non-recurrent expenditure
Agreed plans in place 4,140 1,688				
				- Secretaria de la composição de la proce, including continued contributions to the circ nestitution risk Four.
	Difference	U	U	

NHS Southport and Formby CCG		01V	Contents
inio southport una ronnay coc		011	Concents
Revenue Resource Limit			
		Onening 2014/15	
cloop		Opening 2014/15	2045/46
£'000	sign	Allocation	2015/16
Programme Baseline Allocation - Published Dec 14	+ve	165,624	
Post Mth07 Recurrent Transfers in 14/15	+ve/(-ve)	(15)	(15
Running Cost Allocation - Published Dec 14	+ve	2,917	2,60
Total Notified Allocation		168,526	171,42
Additional Better Care Fund Allocation			2,88
Non Recurrent Allocations			
Other Non Recurrent allocations	+ve/(-ve)	3,169	55
Return of Surplus/(Deficit)	+ve/(-ve)	1,569	1,75
Non Recurrent Requirement	(-ve)	(4,140)	(1,688
Non Recurrent Return	+ve	4,140	1,68
50% Non Elective Collection	+ve	.,,_	_,,00
50% Non Elective Return	(-ve)	_	
50% Non Elective Neturn	( vc)		
Total Non Recurrent Allocation		4,738	2,30
Total Non Recurrent Anocation		4,730	2,30
Total Allocation		172 264	176 61
Total Allocation		173,264	176,61
Closing target allocation per head	+ve	1,280	1,31
Allocation per head	+ve	1,351	
Distance from Target	+ve	71	1,37 5
Distance from Target % (Dec14 Board Paper)		5.6%	4.5
Distance from ranger // (Dec14 Board raper)		3.070	7.5
		Opening 2014/15	
Other non-recurrent allocaton		Allocation	2015/16
Quality Premium		279	2013/10
Winter Funding		2,229	
RTT Funding		2,229	
CEOV		(80)	
GPIT		421	
Capital Grants		421	
Others		74	
ETO/DTR Funding		74	55
ETO/DTICT diluling			53
Total		3,169	55
IUlai		3,109	33

NHS Southport and Formby CCG	01V					Contents	Quality Checks																
																						Sub total -	
	2014/15 Forecast	Non-recurrent allocations				Forecast Exit Run Rate (underlying				Increase in recurrent				Additional Better Care Fund		Sub total - 2015/16 recurrent							Total - 2015/16
Revenue Resource Limit (£000)	Outturn 173,264	(4,738)				position (+) 168,526				allocation 2,903				Allocation 2,884		Resource Limit 174,313						Resource R 2,304	176,617
			(FI	000)							RECURRE	NT (£000)							NON-RECURRENT	EXPENDITURE (£000	1)		TOTAL
			12.								RECORN	.141 (2000)							NOI-RECORRENT	EXPERIENCE (EDOC			TOTAL
	2014/15 Forecast Outturn	Non-recurrent adjustment to	Non-recurrent	14/15 Non- Recurrent QIPP (-	of Other Full Year Effects (-/+)	Forecast Exit Run			Net Tariff					Additional Better			Application of NR						
Income and Expenditure	Outturn	allocation (-)	spend (-/+)	/+) QIPP (-/+)	Effects (-/+)	Rate (underlying Position) (+)	Gross Provider Efficiency (-)	Provider Inflation	Deflation /	Activity Growth (Demog) (+)	Activity Growth (Non-Demog) (+)		QIPP Gross Saving (- QIPP Investm		Investment	Sub total - 2015/16 Recurrent	Allocation /	Other NR Cost Pressures (+)	Investment (NR)	QIPP Gross Saving		Sub -total Non- To Recurrent P	otal - 2015/16
meone and Expenditure						rosidon) (*)	Efficiency (-)	(*)	iiiiatioii (+//	(Delliog) (+)	(Non-Demog) (1)	COST Pressures (+)	(*)	Allocation (+)	(Necurrent) (*)	2013/10 Recurrent	r assumough (+)	riessules (+)	(*)	(-)	(*)	Recuirent	idii
Acute services Acute contracts -NHS (includes Ambulance services)	86,750		(902)		- 56	85,904	(3,261)	2,717	(544)	126	1,132		(4,139)	_	1,090	83,569			-	(700)	_	(700)	82,869
Acute contracts - Other providers (non-nhs, incl. VS)	4,058			-	-	4,058	(154)	129		6	59		11 -	-		- 4,098			-		-	-	4,098
Acute - Other Acute - Exclusions / cost per case					-								-	-					-		-		-
Acute - NCAs Acute - Pass-through payments	1,119 2,504	(2,474)	(30)	-	-	1,089	(49)	35	(14)	1	3		-	-		- 1,079 			-		-	-	1,079
Sub-total Acute services		(2,474)	(962)		-	04.054	(3,464)	2,881	(583)	422	1,194		(4,139)		4.00	20.746				(700)		(700)	88,046
	94,431	(2,474)	(962)		- 56	91,051	(3,464)	2,881	(583)	133	1,194	-	(4,133)		1,090	88,746				(700)	-	(700)	88,046
Mental Health services MH contracts - NHS	13,523		17	_	-	13,540	(515)	431	(84)	20	203		(8)	-		- 13,671						-	13,671
MH contracts - Other providers (non-nhs, incl. VS)	1,020		71	-	-	1,091	,,		-	1			1.7	-		1,092			-		-	-	1,092
MH - Other MH - Exclusions / cost per case					-									-								-	
MH - NCAs MH - Pass-through payments	60 74	(74)				60		5	5	1	1		-	- 1		- 67			-		-	-	67
Sub-total Mental Health Services	14,677	(74)	88			14,691	(515)	436	(79)	22	204	_	(8)			- 14,830				_	_		14,830
	14,0//	(74)	88			14,091	(315)	430	(79)	22	204		(0)			14,030						-	14,030
Community Health Services CH Contracts - NHS	14,163		(163)	-		14,000	(532)	443	(89)	21	151			-	233	14,315			240			240	14,555
CH Contracts - Other providers (non-nhs, incl. VS) CH - Other	828		79	-	-	907							-	-		- 907			307	-	-	307	1,214
CH - Exclusions / cost per case				1	-									1							-	-	-
CH - NCAs CH - Pass-through payments	146					146							-	-		- 146			-		-		146
Sub-total Community Health services	15,137		(84)	_		15,053	(532)	443	(89)	21	151	_			. 233	2 15,368			547		_	547	15,915
							(532)	443	(65)	- 21			-		234				347			347	
Continuing Care Services (All Care Groups) Local Authority / Joint Services	6,887 2,885		1,595 (1,430)		-	8,482 1,455				19	724 73		-			- 9,225 - 1,530			-		-	-	9,225 1,530
Free Nursing Care	3,264					3,264				5	163		-	-		- 3,432			-	-	-	-	3,432
Sub-total Continuing Care services	13,036	-	165	-	-	13,201	-		-	26	960	-	-			- 14,187			-	-		-	14,187
Primary Care services																							
Prescribing Community Base Services	22,587		42		-	22,629				34	901	138	(210)	-		23,492			-		-	-	23,492
Out of Hours	1,030		(425)	-	-	1,030			-	,			-	-	000	1,030			- 143	-	-	- 142	1,030
PC - Other	2,234		(120)		1	1,809			_	2					98:				143			143	2,935
Sub-total Primary Care services	25,851	-	(383)	-	-	25,468	-	-	-	36	901	138	(210)		98:	27,314	-	-	143	-	-	143	27,457
Other Programme services GP IT Costs	618	(421)				197										252							252
NHS Property Services re-charge (excluding running cost)	719		13	-	-	732							-	-	J.	- 732			-	-	-	-	732
Voluntary Sector Grants / Services Social Care	1,273		(49)		-	1,224							-			- 1,224 			140		-	140	1,364
Other CCG reserves Other Programme Services	3,082		324			- 3,406						(285) 174	- (985)	- 2,884	367	- <b>(285)</b> 7 <b>5,84</b> 6			3,283	(300)		- 2,983	(285) 8,829
																-							
Sub-total Other Programme services	5,692		288			5,559		-	-	-	-	(111)		- 2,884					3,423		-	3,123	10,892
Total - Commissioning services	168,824	(2,969)	(888)	-	- 56	165,023	(4,511)	3,760	(751)	238	3,410	27	(5,342)	- 2,884	2,725	168,214	-	-	4,113	(1,000)	-	3,113	171,327
Running Costs CCG Pay costs	1,175		59			1,234							(175)			- 1,059							1,059
CSU Re-charge	803		37		-	840							(136)	-		- 704			-		-	-	704
NHS Property Services re-charge / CHP Charges Running Costs - Other Non-pay	47 665		40 91		-	87 756										- 87 - 756					-	-	87 756
Sub-Total Running costs	2,690		227			2,917							(311)			- 2,606							2,606
	2,030		227			2,317							(311)			2,000							
Contingency																		884				884	884
Total Application of Funds	171,514	(2,969)	(661)	-	- 56	167,940	(4,511)	3,760	(751)	238	3,410	27	(5,653)	- 2,884	2,72	170,820	-	884	4,113	(1,000)	-	3,997	174,817
Surplus/(Deficit)	1,750	(1,769)		-	- (56	586										3,493						(1,693)	1,800

NHS Southport and Formby CCG	01V		Contents	Quality Checks	
Risks and Mitigations		_			
RISKS and Willigations					
2015/16					
	Full Risk Value	Probability of risk	Potential Risk		
	£'000	being realised	Value	Proportion of Total %	
Risks		%	£'000		Commentary
CCGs				Г	Southport & Ormskirk Trust - review of a number of baseline issues between commissioners has
Acute SLAs	2,390	25.0%	598	23.9%	identified potential increased costs.
Community SLAs	810	50.0%	405	16.2%	Local system resilience funding - under discussion with provider.
Mental Health SLAs	780	10.0%	78	3.1%	Mersey Care pursuing over-activity, but data quality concerns remain.  The CCG experienced significant increases in CHC in 2014/15. The CCG has planned for a 5%
Continuing Care SLAs	950	50.0%	475	19.0%	increase. This may be inadequate.
QIPP Under-Delivery			-	0.0%	
Performance Issues			-	0.0%	
Primary Care			-	0.0%	Budgets have been increased by 49/. Costs in prescribing can be veletile and it is reasonable to
					Budgets have been increased by 4%. Costs in prescribing can be volatile and it is reasonable to reflect the risk of higher than planned expenditure. Risks reflect horizon scanning of anticipated
Prescribing	310	50.0%	155	6.2%	changes.
Running Costs		,	-	0.0%	
					No guaranteed support from CCG for social seervices growth. Council expects c. £1.3m for
BCF	1,320	25.0%	330	13.2%	demographic change.
Other Risks	462	100.0%	462	18.5%	Extra day due to leap year - £462k.
70741 PISUS	7.022	200/	2.502	400.00/	1
TOTAL RISKS	7,022	36%	2,503	100.0%	
		Probability of	Expected		
	Full Mitigation	success of	Expected Mitigation Value		
	Value		Expected Mitigation Value	Proportion of	
Mitigations		success of		Proportion of Total %	Commentary
	Value	success of mitigating action	Mitigation Value		Commentary
Uncommitted Funds (Excl 1% Headroom)	Value	success of mitigating action	Mitigation Value		Commentary
Uncommitted Funds (Excl 1% Headroom)	Value £'000	success of mitigating action %	Mitigation Value	Total %	Commentary
Uncommitted Funds (Excl 1% Headroom) Contingency Held	Value £'000	success of mitigating action %	Mitigation Value	Total %	Commentary
Uncommitted Funds (Excl 1% Headroom) Contingency Held Reserves Investments Uncommitted	Value £'000	success of mitigating action %	Mitigation Value	Total % 100.0% 0.0%	Commentary
Uncommitted Funds (Excl 1% Headroom) Contingency Held Reserves Investments Uncommitted Uncommitted Funds Sub-Total Actions to Implement	Value £'000	success of mitigating action % 100.0%	Mitigation Value £'000  884 -	100.0% 0.0% 0.0% 100.0%	Commentary
Uncommitted Funds (Excl 1% Headroom)  Contingency Held  Reserves Investments Uncommitted  Uncommitted Funds Sub-Total  Actions to Implement  Further QIPP Extensions	Value £'000	success of mitigating action % 100.0%	Mitigation Value £'000  884 -	100.0% 0.0% 0.0% 100.0%	Commentary
Uncommitted Funds (Excl 1% Headroom)  Contingency Held  Reserves Investments Uncommitted  Uncommitted Funds Sub-Total  Actions to Implement  Further QIPP Extensions  Non-Recurrent Measures	Value £'000	success of mitigating action % 100.0%	£'000 884 - - 884	100.0% 0.0% 0.0% 100.0% 0.0%	Commentary
Uncommitted Funds (Excl 1% Headroom)  Contingency Held Reserves Investments Uncommitted  Uncommitted Funds Sub-Total Actions to Implement Further QIPP Extensions Non-Recurrent Measures Delay/ Reduce Investment Plans	Value £'000	success of mitigating action % 100.0%	### Mitigation Value  ### 1000    ### 1000    ### 1000    ### 1000	100.0% 0.0% 0.0% 100.0% 0.0% 0.0% 0.0%	
Uncommitted Funds (Excl 1% Headroom)  Contingency Held Reserves Investments Uncommitted  Uncommitted Funds Sub-Total Actions to Implement Further QIPP Extensions Non-Recurrent Measures Delay/ Reduce Investment Plans Mitigations relying on potential funding	Value £'000 884 884	success of mitigating action % 100.0%	### Mitigation Value ### 1000    ### 1000    ### 1000    ### 1000	100.0% 0.0% 0.0% 100.0% 0.0% 0.0% 0.0%	Commentary  Complete in section below - row 41
Uncommitted Funds (Excl 1% Headroom)  Contingency Held Reserves Investments Uncommitted  Uncommitted Funds Sub-Total Actions to Implement Further QIPP Extensions Non-Recurrent Measures Delay/ Reduce Investment Plans Mitigations relying on potential funding	Value £'000	success of mitigating action % 100.0%	### Mitigation Value  ### 1000    ### 1000    ### 1000    ### 1000	100.0% 0.0% 0.0% 100.0% 0.0% 0.0% 0.0%	
Uncommitted Funds (Excl 1% Headroom)  Contingency Held  Reserves Investments Uncommitted  Uncommitted Funds Sub-Total  Actions to Implement  Further QIPP Extensions  Non-Recurrent Measures  Delay/ Reduce Investment Plans  Mitigations relying on potential funding  Actions to Implement Sub-Total	Value £'000 884 884	success of mitigating action % 100.0%	### Mitigation Value ### 1000    ### 1000    ### 1000    ### 1000	100.0% 0.0% 0.0% 100.0% 0.0% 0.0% 0.0%	
Uncommitted Funds (Excl 1% Headroom)  Contingency Held Reserves Investments Uncommitted  Uncommitted Funds Sub-Total Actions to Implement Further QIPP Extensions Non-Recurrent Measures Delay/ Reduce Investment Plans Mitigations relying on potential funding Actions to Implement Sub-Total  TOTAL MITIGATION	Value £'000	success of mitigating action % 100.0%	## Mitigation Value  ## 1000	100.0% 0.0% 0.0% 100.0% 0.0% 0.0% 0.0% 0	
Uncommitted Funds (Excl 1% Headroom)  Contingency Held Reserves Investments Uncommitted  Uncommitted Funds Sub-Total Actions to Implement Further QIPP Extensions Non-Recurrent Measures Delay/ Reduce Investment Plans Mitigations relying on potential funding Actions to Implement Sub-Total  TOTAL MITIGATION	Value £'000	success of mitigating action % 100.0%	## Mitigation Value ## 1000    884	100.0% 0.0% 0.0% 100.0% 0.0% 0.0% 0.0% 0	
Uncommitted Funds (Excl 1% Headroom)  Contingency Held Reserves Investments Uncommitted  Uncommitted Funds Sub-Total Actions to Implement Further QIPP Extensions Non-Recurrent Measures Delay/ Reduce Investment Plans Mitigations relying on potential funding Actions to Implement Sub-Total  TOTAL MITIGATION	Value £'000	success of mitigating action % 100.0%	## Mitigation Value  ## 1000	100.0% 0.0% 0.0% 100.0% 0.0% 0.0% 0.0% 0	
Investments Uncommitted  Uncommitted Funds Sub-Total  Actions to Implement  Further QIPP Extensions  Non-Recurrent Measures  Delay/ Reduce Investment Plans  Mitigations relying on potential funding  Actions to Implement Sub-Total  TOTAL MITIGATION  NET RISK / HEADROOM  BEST CASE IMPACT	Value £'000 884 884 	success of mitigating action % 100.0% 100.0% 100.0%	## Mitigation Value ## 1000  ## 884	100.0% 0.0% 0.0% 100.0% 0.0% 0.0% 0.0% 0	Complete in section below - row 41  No risks materialise and funds remain uncommitted.
Uncommitted Funds (Excl 1% Headroom)  Contingency Held  Reserves Investments Uncommitted  Uncommitted Funds Sub-Total  Actions to Implement  Further QIPP Extensions  Non-Recurrent Measures  Delay/ Reduce Investment Plans  Mitigations relying on potential funding  Actions to Implement Sub-Total  TOTAL MITIGATION  NET RISK / HEADROOM  BEST CASE IMPACT	Value £'000 884 884 	success of mitigating action % 100.0% 100.0%	## Mitigation Value  ## 1000    ## 1000    ## 1000    ## 1000   ##	100.0% 0.0% 0.0% 100.0% 0.0% 0.0% 0.0% 0	Complete in section below - row 41
Uncommitted Funds (Excl 1% Headroom)  Contingency Held  Reserves Investments Uncommitted  Uncommitted Funds Sub-Total  Actions to Implement  Further QIPP Extensions  Non-Recurrent Measures  Delay/ Reduce Investment Plans  Mitigations relying on potential funding  Actions to Implement Sub-Total  TOTAL MITIGATION  NET RISK / HEADROOM  BEST CASE IMPACT	Value £'000 884 884 	success of mitigating action % 100.0% 100.0% 100.0%	## Mitigation Value ## 1000  ## 884	100.0% 0.0% 0.0% 100.0% 0.0% 0.0% 0.0% 0	Complete in section below - row 41  No risks materialise and funds remain uncommitted.
Uncommitted Funds (Excl 1% Headroom)  Contingency Held Reserves Investments Uncommitted  Uncommitted Funds Sub-Total  Actions to Implement  Further QIPP Extensions Non-Recurrent Measures Delay/ Reduce Investment Plans Mitigations relying on potential funding  Actions to Implement Sub-Total  TOTAL MITIGATION  NET RISK / HEADROOM  BEST CASE IMPACT	Value £'000 884 884 	success of mitigating action % 100.0% 100.0% 100.0%	## Mitigation Value ## 1000  ## 884	100.0% 0.0% 0.0% 100.0% 0.0% 0.0% 0.0% 0	Complete in section below - row 41  No risks materialise and funds remain uncommitted.
Uncommitted Funds (Excl 1% Headroom)  Contingency Held  Reserves Investments Uncommitted  Uncommitted Funds Sub-Total  Actions to Implement Further QIPP Extensions Non-Recurrent Measures Delay/ Reduce Investment Plans Mitigations relying on potential funding Actions to Implement Sub-Total  TOTAL MITIGATION  NET RISK / HEADROOM	Value £'000 884 884 	success of mitigating action % 100.0% 100.0% 100.0%	## Mitigation Value ## 1000  ## 884   ## 884  (1,619)  ## 884	100.0% 0.0% 0.0% 100.0% 0.0% 0.0% 0.0% 0	Complete in section below - row 41  No risks materialise and funds remain uncommitted.
Uncommitted Funds (Excl 1% Headroom) Contingency Held Reserves Investments Uncommitted Uncommitted Funds Sub-Total Actions to Implement Further QIPP Extensions Non-Recurrent Measures Delay/ Reduce Investment Plans Mitigations relying on potential funding Actions to Implement Sub-Total  TOTAL MITIGATION  NET RISK / HEADROOM  BEST CASE IMPACT  Mitigations relying on potential funding	Value £'000 884 884 	success of mitigating action % 100.0% 100.0% 100.0%	## Mitigation Value ## 1000  ## 884   ## 884  (1,619)  ## 884	100.0% 0.0% 0.0% 100.0% 0.0% 0.0% 0.0% 0	Complete in section below - row 41  No risks materialise and funds remain uncommitted.
Uncommitted Funds (Excl 1% Headroom)  Contingency Held Reserves Investments Uncommitted Uncommitted Funds Sub-Total Actions to Implement Further QIPP Extensions Non-Recurrent Measures Delay/ Reduce Investment Plans Mitigations relying on potential funding Actions to Implement Sub-Total  TOTAL MITIGATION  NET RISK / HEADROOM  BEST CASE IMPACT  Mitigations relying on potential funding From National	Value £'000 884 884 	success of mitigating action % 100.0% 100.0% 100.0%	## Mitigation Value ## 1000  ## 884   ## 884  (1,619)  ## 884	100.0% 0.0% 0.0% 100.0% 0.0% 0.0% 0.0% 0	Complete in section below - row 41  No risks materialise and funds remain uncommitted.

NHS Southport and Formby CCG	01V		Contents	<b>Quality Checks</b>				
Investments								
2015/16					Non-Recurrent			
		Recurrent resource (underlying and in-yr surplus)	B/F surplus	Non Recurrent Requirement	50% Threshold	Re-admission Credit	NR Total	Total
Available to Invest			1,750	1,688	-		3,438	3,43
2015/16 Recurrent Investment		·						
	Area of Spend (select from drop down)							
Description								
NHS COIN Infrastructure costs	GP IT Costs	55						
IVF - change in criteria	Acute contracts -NHS (includes Ambulance services)	108						10
Transforming primary care	PC - Other	981						9
EMIS Web roll out	CH Contracts - NHS	31						
Home oxygen service	CH Contracts - NHS	27						
System resilience	Acute contracts -NHS (includes Ambulance services)	900						9
Alcohol Nurse Liaison	Acute contracts -NHS (includes Ambulance services)	82						
Community Childrens Nurse Outreach Team	CH Contracts - NHS	174						1
Better Care Fund additional investment	Other Programme Services	367						3
Sub-total recurrent		2,725						2,7
Total requirement		2,723			_			2,1
Non-Recurrent			П					
	Area of Spand (select from drop down)							
Description	Area of Spend (select from drop down)			207			207	
CVS	CH Contracts - Other providers (non-nhs, incl. VS)			307			307	3
Advancing Quality	PC - Other			63			63	

NAC-A-	CH Courtes to MHC			240			240	240
Winter pressures - community	CH Contracts - NHS			240			240	240
Winter pressures - primary care	PC - Other			80			80	80
End of Life Facilitator	Voluntary Sector Grants / Services			44			44	44
CHC Restitution - risk pool	Other Programme Services			426			426	426
CHC Restitution admin	Other Programme Services			150			150	150
Cheshire / Mersey Rehab	Other Programme Services		262	378			640	640
IM&T (iLinks)	Other Programme Services		267				267	267
Transform (End of Life) Team	Voluntary Sector Grants / Services		96				96	96
Transformation fund	Other Programme Services		1,800				1,800	1,800
							-	-
							-	-
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Continuing Health Care Bick Book								-
Continuing Health Care Risk Pool			2.425	1.000			4 4 4 2	4 442
Sub-total Non-Recurrent		-	2,425	1,688	•	-	4,113	4,113
		2 = 5 =						
Total planned Investment		2,725	2,425	1,688	-	-	4,113	6,838
Remaining Funds		(2,725)	(675)				(675)	(3,400)

NHS Southport and Formby CCG	01V				Contents	Quality Checks																					
QIPP 2015/16				RECURRENT V	/ALUE OF FUTURE	E SAVINGS (£000)	IN - YEAR SAVING (	(£000)	FU	LL YEAR EFFECT (£	:000)	NO	ON-RECURRENT (£	000)	TOTAL QIPP					Sa	aving Profile (£	2000)					
Local Scheme Name (over £0.5m)	Area of Spend (select from drop down menu)	Is the QIPP Scheme included within the BCF Plan?	14/15 Net Non- Recurrent QIPP (-/+) QIPP (-/+)	of	CCG Investment Required (+)	t Net Total Scheme Saving (-)	Gross Saving CCG Investment (-) Required (+)	Net 15/16 Saving (-)	Gross Saving (-)	CCG Investment Required (+)	Net Full Year Effect (-)	Gross Saving (-)	CCG Investment Required (+)	Net Non- recurrent QIPP Saving (-)	Total 15/16 Net QIPP	April	May J	une July	August	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	March	Total
Transactional Productivity and Contractual Efficiency																											
Savings IM&T savings	Other Programme Services Acute contracts -NHS (includes Ambulance services)	No		(985)	)	(985)	(985)	(985)	-	-	-	(700)		(700)	(985) (700)	(82) (58)	(82) (58)		(82) (8 (58) (5	(82	(82)	(82) (58)	(82)	(82)	(82) (58)	(82)	(985) (700)
	Acute Contracts - Arms (includes Annoulance services)	NO						-		-	-	(700)			-	(36)	(30)	(30)	30) (3	(30	y (36)	(30)	(30)	(30)	(30)	(30)	
Sub Total				- (985)		- (985)	(985)	- (985)		-	-	(700)		(700)	(1,685)	(140)	(140)	(140) (1	40) (14	(140	(140)	(140)	(140)	(140)	(140)	(140)	(1 605)
Balance of Schemes under (£0.5m)	CCG Pay costs	No	-	(175)						_	_	(700)	-	(700)		(15)	(15)						(15)	(140)	(15)	(15)	
Balance of Schemes under (±0.5m)	CSU Re-charge MH contracts - NHS	No No No		(175) (136) (176)	)	(175) (136) (176)	(136)	(175) (136) (8)	(168		(168)			1	(175) (136)	(11)	(11)			.1) (11	(15)	(15) (11) (1)	(11)	(11)	(11)	(11)	(175) (136) (8)
	Prescribing Other Programme Services	No No		(210)	ó	(210)		(210)	(200	1	(100)	(200)		(300)	(210) (300)	(18)	(18)			.8) (18	(18)		(18)	(18)	(18) (25)	(18)	(210) (300)
	Other Programme Services	No										(300)		(300)	(300)	(23)	(23)	(23)	,23) (2	.5) (23	(23)	(23)	(23)	(23)	(23)	(23)	(300)
										-																	
Balance of Schemes under (£0.5m) Total			-	- (697)	-	- (697)	(529)	(529)	(168	-	(168)	(300)	-	(300)	(829)	(69)	(69)	(69)	69) (6	(69	(69)	(69)	(69)	(69)	(69)	(69)	(829)
Transactional Productivity and Contractual Efficiency Savings				- (1,682)	)	- (1,682)	(1,514)	- (1,514)	(169		(168)	(1,000)		(1,000)	(2,514)	(210)	(210)	(210) (2	10) (21	.0) (210	(210)	(210)	(210)	(210)	(210)	(210)	(2,514)
				(1,002)		(1,002)	(-,02-4)	(1,514)	(100		, (100)	(1,000)		(1,000)	(2,524)	(220)	,220	1	-, (21	, (210	. (210)	(210)	(==0)	(210)	(=20)	,220)	1-1-2-41
Transformational Service Re-design and Pathway Changes																											
						-		-	-	-	-			-													
						-		-	-	-	-			-	-												
								-	-	-	-			-													1
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								-	-		-			-													
								-	-	-	-			-													1
								-	-	-	-			-	-												1
Sub Total			-		_				-		-	-	-	-		-	-	-	-			-	-	-	-	-	-
Balance of Schemes under (£0.5m)						-		-	-		-			-	-												-
						-		-	-	-	-			-	-												1
										-	1			-													1
								-		-	1			-													1
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						-		-	-		- 1				-												1
Balance of Schemes under (£0.5m) Total			-		-	-  -		-	· · · · ·	-	-	-	-	-	-	-	-	-	-	-  -	-		-		-	-	-
Transformational Service Re-design and Pathway Changes			-		_				-	-	-	-				-	-	-				-		-	-	-	_
Unidentified QIPP	Acute contracts -NHS (includes Ambulance services)	No		(5,139)	)	(5,139)	(4,139)	(4,139)	(1,000	-	(1,000)			-	(4,139)						(690)	(690)	(690)	(690)	(690)	(690)	(4,139)
											1			-													1
Total Unidentified CIDD							(4.220)				(1,000)				(4,139)						100.00	1000	15001	(000)	1000	(000)	16.400
Total Unidentified QIPP				- (5,139)		- (5,139	·	(4,139)			•					(ace)	(240)	(210)	10) (	0) (0)	(690)		•	•	(690)	·	
Total QIPP Schemes  External Investment Supporting QIPP			-	- (6,821)		- (6,821)	(5,653)	- (5,653)	(1,168	-	(1,168)	(1,000)	-	(1,000)	(6,653)	(210)	(210)	(210)	10)  (21	.0) (210	(899)	(899)	(899)	(899)	(899)	(899)	(0,053)
External investment supporting QFF		Is the QIPP		Investment	Investment																						
Local Scheme Name (over £0.5m)	Organisation Making Investment	Scheme included within the BCF		Required (Recurent) (+)	Required (Non																						
	ccc	Plan?	_																								
	Area Team		_																								
	Local Government Providers												1														
	Charity Other												1														
Health Economy Wide QIPP	£000	0																									
Price Efficiency Acute Price Efficiency Mental Health	(3,46	5)																									
Price Efficiency Community Price Efficiency Other	(53	-																									
Total Health Economy Wide QIPP	(4,51	1)																									

Contents Quality Checks

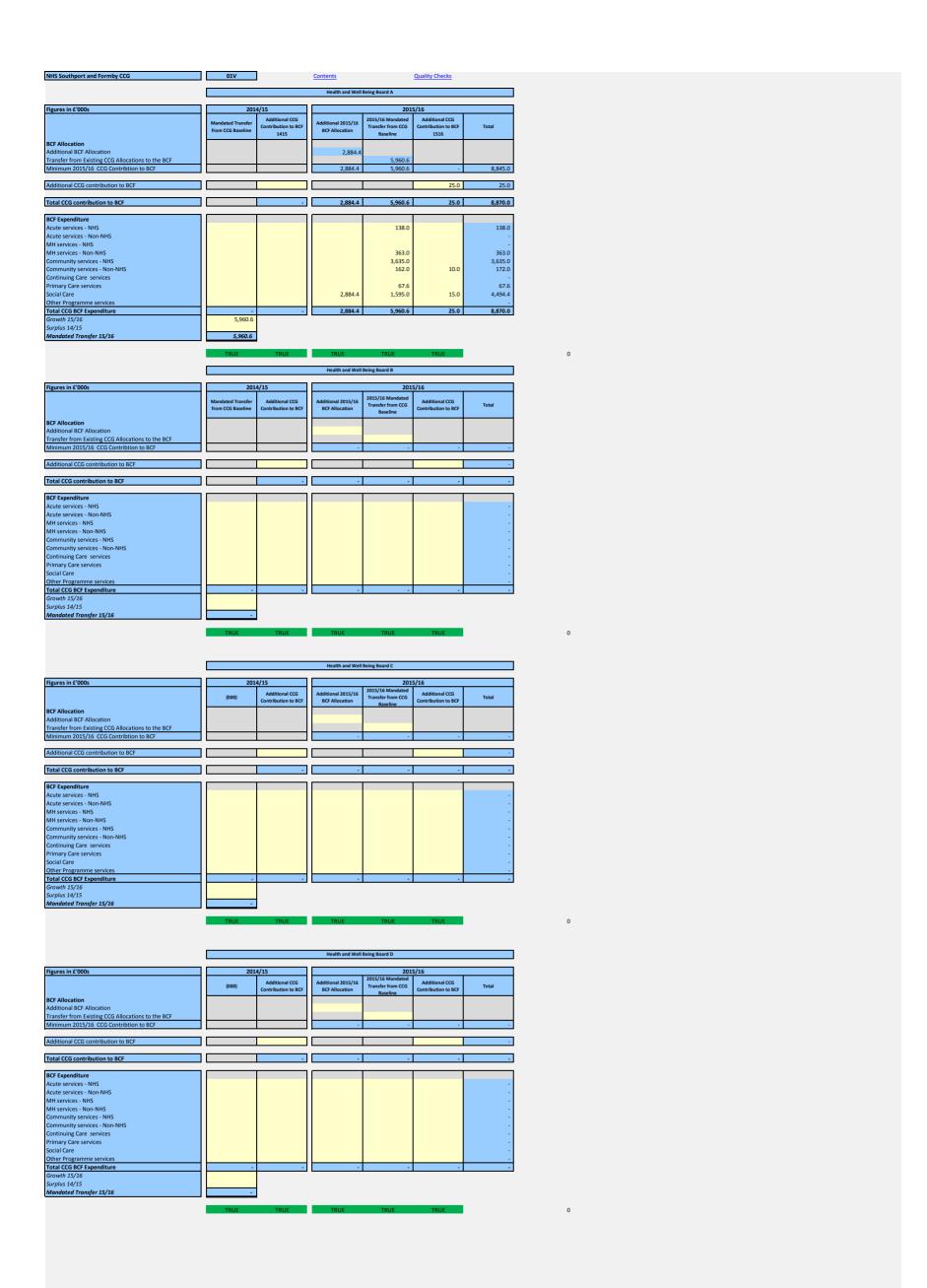
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NHS Southport and Formby CCG 01V Contents Quality Checks

NHS Southport and Formby CCG		01V	<u>Contents</u>	<b>Quality Checks</b>
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#### **Mental Health Parity of Esteem**

Area of Spend (£'000)	2014/15 Forecast Outturn	Spend on Mental Health in other Areas	2014/15 Restated Forecast Outturn	2015/16 Plan	Spend on Mental Health in other Areas	2015/16 Restated Plan	% Change
Acute	94,431	(507)	93,924	88,046	(516)	87,530	-6.8%
Mental Health Services	14,677	6,032	20,709	14,830	6,351	21,181	2.3%
Community Health Services	15,137		15,137	15,915		15,915	5.1%
Continuing Care Services	13,036	(4,005)	9,031	14,187	(4,267)	9,920	9.8%
Primary Care Services	25,851	(1,520)	24,331	27,457	(1,568)	25,889	6.4%
Other Programme Services	5,692		5,692	10,892		10,892	91.4%
Total	168,824	-	168,824	171,327	-	171,327	



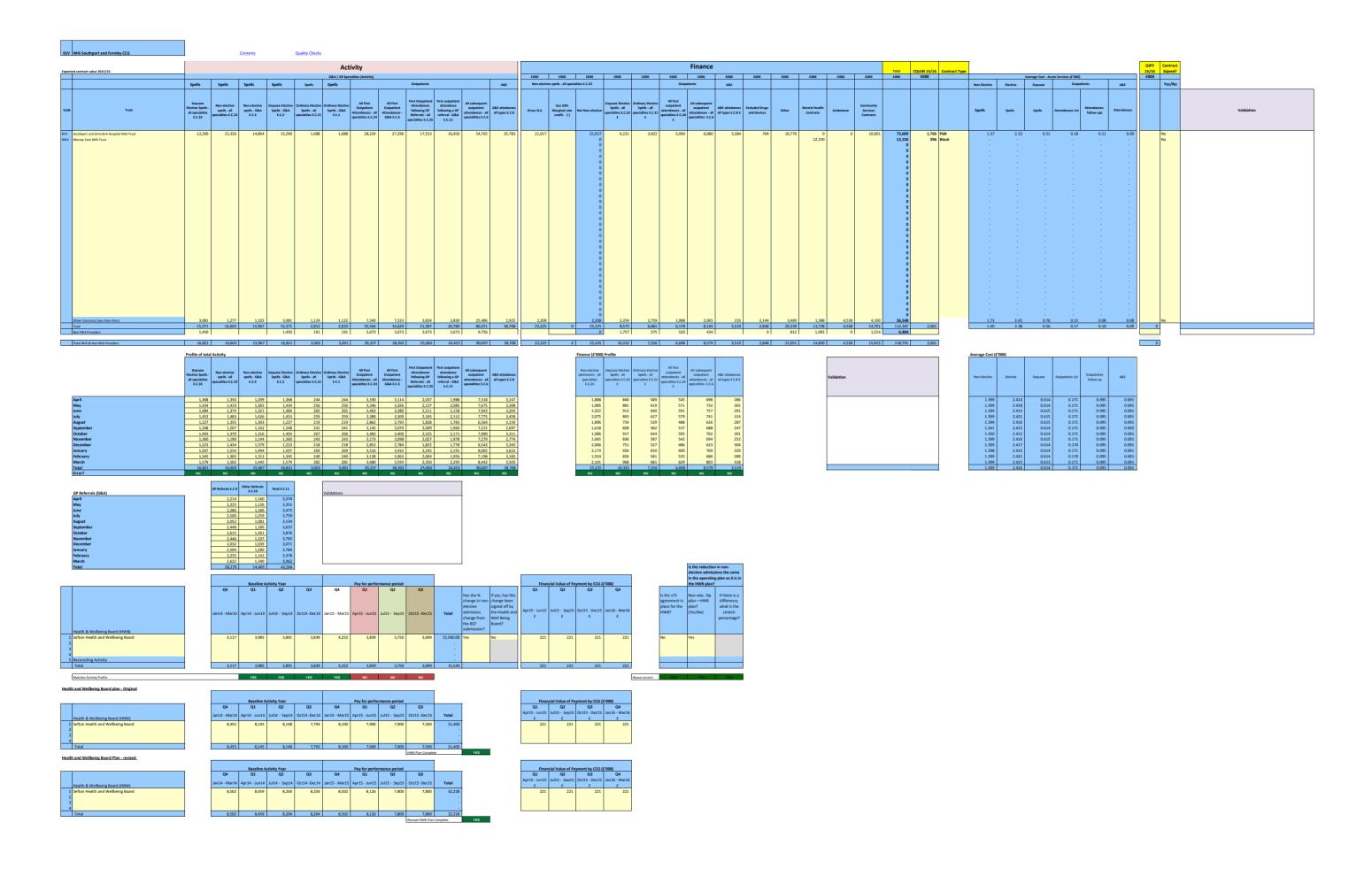
		2014/15 Outturn (£000)	2015/16 Plan (£000)											
	sign	March	Apr	May	June	July	August	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	March
Assets				<u>'</u>		1						•		
Non Current Assets														
Opening Balance	+ve	33	33	33	33	33	33	33	27	27	27	27	27	27
Depreciation	-ve							(6)						(6)
Additions	+ve													
Long Term Receivables	+ve													
Total Non Current Assets		33	33	33	33	33	33	27	27	27	27	27	27	21
Current Assets														
Inventories	+ve													
NHS Trade and Other Receivables	+ve	916	916	916	916	916	916	916	916	916	916	916	916	916
Non NHS Trade and Other Receivables	+ve	15	15	15	15	15	15	15	15	15	15	15	15	15
Cash and Cash Equivalents	+ve	156	141	145	142	153	146	138	159	149	145	148	137	143
Total Current Assets		1,087	1,072	1,076	1,073	1,084	1,077	1,069	1,090	1,080	1,076	1,079	1,068	1,074
otal Assets		1,120	1,105	1,109	1,106	1,117	1,110	1,096	1,117	1,107	1,103	1,106	1,095	1,095
iabilities														
Non Current Liabilities														
Borrowings	-ve													
Deferred Income (non current)	-ve													
Provisions (non current)	-ve													
Trade and Other Payables (non current)	-ve													
Finance Leases (non current)	-ve													
Total Non Current Liabilities		-	-	-	-	-	-	-	-	-	-	-	-	•
Current Liabilities														
Borrowings	-ve													
Deferred Income (current)	-ve													
Provisions (current)	-ve													
Trade and Other Payables (current)	-ve	(13,742)	(13,577)	(13,431)	(13,278)	(13,139)	(12,982)	(12,818)	(12,689)	(12,529)	(12,375)	(12,228)	(12,067)	(11,917)
Finance Leases (current)	-ve													
Total Current Liabilities		(13,742)	(13,577)	(13,431)	(13,278)	(13,139)	(12,982)	(12,818)	(12,689)	(12,529)	(12,375)	(12,228)	(12,067)	(11,917)
		(13,742)	(13,577)	(13,431)	(13,278)	(13,139)	(12,982)	(12,818)	(12,689)	(12,529)	(12,375)	(12,228)	(12,067)	(11,917)
ntal Liahilities	ı	(13,742)	(13,311)	(13,431)	(13,270)	(13,133)	(12,302)	(12,010)	(12,003)	(12,323)	(12,373)	(12,220)	(12,007)	(11,517)
otal Liabilities								(44 700)	(11 E72)	(11,422)	(11,272)	(11,122)	(10,972)	(10,822)
		(12,622)	(12,472)	(12,322)	(12,172)	(12,022)	(11,872)	(11,722)	(11,572)	(11,422)	(11,272)	(,,	(=0,0)=,	
		(12,622)	(12,472)	(12,322)	(12,172)	(12,022)	(11,872)	(11,722)	(11,572)	(11,422)	(11,272)	(/)	(=0,0:=)	
TOTAL ASSETS EMPLOYED		(12,622)	(12,472)	(12,322)	(12,172)	(12,022)	(11,872)	(11,722)	(11,572)	(11,422)	(11,272)	(,,	(20,012)	
OTAL ASSETS EMPLOYED		(12,622)	(12,472)	(12,322)	(12,172)	(12,022)	(11,872)	(11,722)	(11,572)	(11,422)	(11,272)	(==,===)	(20)212)	
TOTAL ASSETS EMPLOYED  Taxpayers' Equity  General Fund	+ve/-ve													
OTAL ASSETS EMPLOYED  Taxpayers' Equity	+ve/-ve +ve/-ve	(12,622)	(12,472)	(12,322)	(12,172)	(12,022)	(11,872)	(11,722)	(11,572)	(11,422)	(11,272)	(11,122)	(10,972)	(10,822)
OTAL ASSETS EMPLOYED  axpayers' Equity  eneral Fund														

NHS Southport and Formby CCG	01V		Contents	<b>Quality Check</b>	<u>.s</u>								
	April	May	June	July	August	September	October	Nov	Dec	January	February	March	Total
2015/16	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000
Expenditure	14,405	14,823	14,736	15,165	14,193	13,797	15,125	13,985	14,426	15,443	14,352	15,449	175,901
Less Non Cash Items													
Depreciation/Amortisation - Running Costs													-
Depreciation/Amortisation - Programme Costs						(6)						(6)	(12)
Impairments - Running Costs													-
Impairments - Programme Costs													-
Less Top Slices													
Prescription Pricing Authority	(1,742)	(1,797)	(1,751)	(1,889)	(1,807)	(1,785)	(1,958)	(1,837)	(1,798)	(1,835)	(1,692)	(1,855)	(21,746)
Other Central / BSA payments													-
Remaining Expenditure	12,663	13,026	12,985	13,276	12,386	12,006	13,167	12,148	12,628	13,608	12,660	13,588	154,143

2015/16	April	May	June	July	August	September	October	Nov	Dec	January	February	March	Total
	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000
Receipts													
Balance b/fwd	156	141	145	142	153	146	138	159	149	145	148	137	
BACS													-
CHAPS													-
CCG-Drawdown	12,507	12,885	12,840	13,134	12,233	11,860	13,029	11,989	12,479	13,463	12,512	13,451	152,382
CCG-Drawdown additional													-
Other													-
PCS Payments Reimbursements													-
VAT	2	2	2	2	2	2	2	2	2	2	2	2	24
Capital Receipts													-
Total Receipts	12,665	13,028	12,987	13,278	12,388	12,008	13,169	12,150	12,630	13,610	12,662	13,590	152,406
Payments													
Creditors NHS	9,436	9,779	9,729	10,017	9,189	8,787	9,892	8,915	9,432	10,341	9,443	10,308	115,268
Creditors CHAPS													-
Salary CHAPS	49	49	49	49	49	49	49	49	49	49	49	49	588
Pensions	17	17	17	17	17	17	17	17	17	17	17	17	204
Tax & NI	23	23	23	23	23	23	23	23	23	23	23	23	276
Standing Orders / Direct Debits													-
PCS Payments													-
Other	2,999	3,015	3,027	3,019	2,964	2,994	3,029	2,997	2,964	3,032	2,993	3,050	36,083
Capital Payments													-
Total -Expenditure	12,524	12,883	12,845	13,125	12,242	11,870	13,010	12,001	12,485	13,462	12,525	13,447	152,419
Balance c/fwd	141	145	142	153	146	138	159	149	145	148	137	143	

NHS Southport and Formby CCG	01V		Contents	Quality Checks
		-		_
Planned Capital Expenditure (Please describe the Scheme)			2015/16	
		Business Case	Value	
		Submitted (Y/N)	£'000s	
Capital Grants		N		
TOTAL			-	
				-
Capital Expenditure Dependencies - Schemes expected to			2015/16	
be delivered by other bodies, e.g. NHS Property Services,	Lead	Confirmed	Value	
NCB Primary Care Grants. (Please describe the Scheme)	Organisation	Scheme?	£'000s	
TOTAL			-	

01V NHS Southport and Formby CCG	Cuntents Quality Checks		Solidation Messages:
Value of Activity by Provider 2016/15 (NB this should be FOT, inclusive of underlover performance, act the S.A.value)	Activity	Finance to CQURATAL Convent type	Please select a trust from the drop does     Please provide cost and activity for the Stackive
	Spells Spells Spells Spells Spells Spells Spells Spells Addition (Activity)  Add Addition (Activity)  Add Addition (Activity)  Add Addition (Activity)		
Code	Department of the department o		C
Southeast and Grown Recognitive State State  See State State Commission State  See State State State State State  See State St		22,072   22,072   22,072   23,072   2,070   4,070   2,070	CS   SIGNE CS   SIGNE   SIGN
Non NIG Providers  Total NIG & Non NIG Providers	1,690 1,600 1921 191 3,673 3,673 3,673 3,673 9,756 1 16,621 16,623 15,667 16,021 3,003 3,001 39,237 38,302 25,660 24,433 90,007		IS 1 1984 CS 1986 0 CS 8000 CS 8000 CS 8000 CS 1987 CS
	Topics of total Actions  Decrease - Management - Manageme		Dec C1, 2000 0 C1, 2000 0 C1, 2000 0 C1, 2000 C1
2015-0400 April 2015-0510 May 2015-0511 April		1,400	C1, D2002   0   0   0   0   0   0   0   0   0
OP Informity (GLA) 20150400 April April April April April April April 20150511 April April 20150511 April 20150512 April 20150	## Intention   California   Validations   Va		CLS 100000 CLS 1000000 CLS 100000 CLS 1000000 CLS 100000 CLS 1000000 CLS 10000000 CLS 100000000 CLS 10000000 CLS 10000000 CLS 100000000 CLS 100000000 CLS 1000000000 CLS 1000000000000000000000000000000000000



																				CQUII
		Acute Ser	vices (Activity) All S	pecialties						Acute Service	ces (£000)					£000	£000	£000	Total £000	14/15 £000
	Spells	Spells				Non-elective admissions - all specialties		Outpatients	A&E	Excluded Drugs	Other	Mental Health Contracts	Ambulance	Community Services Contracts						
	Non-elective admissions - all specialties E.C.23	Elective admissions - ordinary - all specialties E.C.21	All first outpatient attendance - all specialties E.C.24	All subsequent outpatient attendancies - all specialities E.C.6	A&E attedances all types E.C.8	Gross SLA	less 50% Marginal rate credit-{-	Net Non- elective	Daycase Elective Spells - G&A E.C.2 £	Elective admissions - ordinary - all specialties E.C.21	All first outpatient attendance - all specialties E.C.24	All subsequent outpatient attendancies - all specialities E.C.6								
014/15	16,603	2,812	35,564	80,251	38,708	23,225		23,225	8,575	6,681	6,178	8,145	3,519	2,848	26,637	13,657	4,565	14,309	118,339	2,03
015/16	16,603	2,812	35,564	80,251	38,708	23,225	-	23,225	8,575	6,681	6,178	8,145	3,519	2,848	20,239	13,738	4,538	14,701	112,387	2,06
014/15 - 2015/16 Change	-	-	-	-	-	-	-	-	-	-	-	-	-	-	(6,398)	81	(27)	392	(5,952)	2
)14/15 - 2015/16 Change %	0%	0%	0%	0%	0%	0%	#DIV/0!	0%	0%	0%	0%	0%	0%	0%	-24%	1%				:
014/15	Non-elective admissions - all specialties E.C.23	Elective admissions - ordinary - all specialties E.C.21	All first outpatient attendance - all specialties E.C.24	All subsequent outpatient attendancies - all specialities E.C.6	A&E attedances all types E.C.8															
015/16	1.399	2.376	0.174	0.101	0.091															
			3121	5.22	5,002															
014/15 - 2015/16 Change	-	-	-	-	-															
014/15 - 2015/16 Change %	0%	0%	0%	0%	0%															
		Acute Services (	Change in Cost due t	o Activity - Acute Se	ervices (£'000)															
	Spells	Spells	Outpa	tients	A&E	Total														
	Non-elective admissions - all specialties E.C.23	Elective admissions - ordinary - all specialties E.C.21	All first outpatient attendance - all specialties E.C.24	All subsequent outpatient attendancies - all specialities E.C.6	A&E attedances all types E.C.8															
014/15 - 2015/16 Change																				

Total

Attendances-

follow-ups

Spells EC23

2014/15 - 2015/16 Change

Spells EC21

01V NHS Southport and Formby CCG	Contents
Have providers sought to change the 2008/09 emergency admissions I	aseline? Yes

Please answer the additional questions below

Which Provider(s)?	What evidence was produced in support of change?	Has a change to the baseline been made?	Value of Emergency Admissions Baseline before change (£'000)	Value of Emergency Admissions Baseline after change (£'000)	If no, on what grounds was the request refused?	Trust Code
outhport and Ormskirk Hospital NHS Trust	Basline amended in 2014/15 contract.	Yes				RVY

NHS Southport and Formby CCG	01V

#### Contents

## **Quality Checks**

Check	Sheet(s)	Sheet comparator or Cell Reference	Value	Value in compartor	Difference	Validation Error
SoFP Balances 2015/16	SoFP	<u>SofP</u>	(10,822)	(10,822)	-	NO
Cash matches SOFP 2015/16	Cash	SofP	143	143		NO
·						
Contracts compared to financial detail	Financial Plan Detail 1516	Contract 1415	124,245	124,245	-	NO
Contracts compared to financial detail	Financial Plan Detail 1516	Contract 1516	118,791	118,791	-	NO
Recurrent QIPP Gross Savings	Financial Plan Detail 1516	QIPP 1516	(5,653)	(5,653)	-	NO
Recurrent QIPP Investment	Financial Plan Detail 1516	QIPP 1516	-	-	-	NO
Investment Recurrent	<u>Financial Plan Detail 1516</u>	<u>Investment</u>	2,725	2,725	-	NO
Non-Recurrent QIPP Gross Savings	Financial Plan Detail 1516	<u>QIPP 1516</u>	(1,000)	(1,000)	-	NO
Non-Recurrent QIPP Investment	Financial Plan Detail 1516	<u>QIPP 1516</u>	-	-	-	NO
Investment Non-Recurrent	Financial Plan Detail 1516	<u>Investment</u>	4,113	4,113	-	NO
Monthly Profile - Financial Plan	<u>Financial Plan Detail 1516</u>	<u>Financial Plan Detail 1516</u>	1,800	1,800	0	YES
Memorandom - Other Non Rec Cost Pressures	<u>Financial Plan Detail 1516</u>	H86-H93	Multiple checks on sheet.			NO
Monthly Profile - QIPP	<u>QIPP 1516</u>	<u>QIPP 1516</u>	(6,653)	(6,653)	-	NO
50% Check	Revenue Resource Limit	Contract 1516	-	-	-	NO

Headings	Value	Ends	Before	After
14/15 Surplus	1,750	1,750		
Non Recurrent Adjustments	-1,164		1,750	586
14/15 Recurrent Surplus	586	586		
Change in Allocation	5,787		586	6,373
Net Tariff Deflator	751		6,373	7,124
Activity Growth	-3,648		7,124	3,476
Other Cost Pressures	-27		3,476	3,449
QIPP savings	5,653		3,449	9,102
Investment	-2,725		9,102	6,377
Non Recurrent Adjustments	-1,693		6,377	4,684
BCF Spend	-2,884		4,684	1,800
Planned 15/16 surplus		1,800		

